Development of a SADC Guideline for Community Engagement in TFCAs: Situation Analysis Report

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Situational Analysis

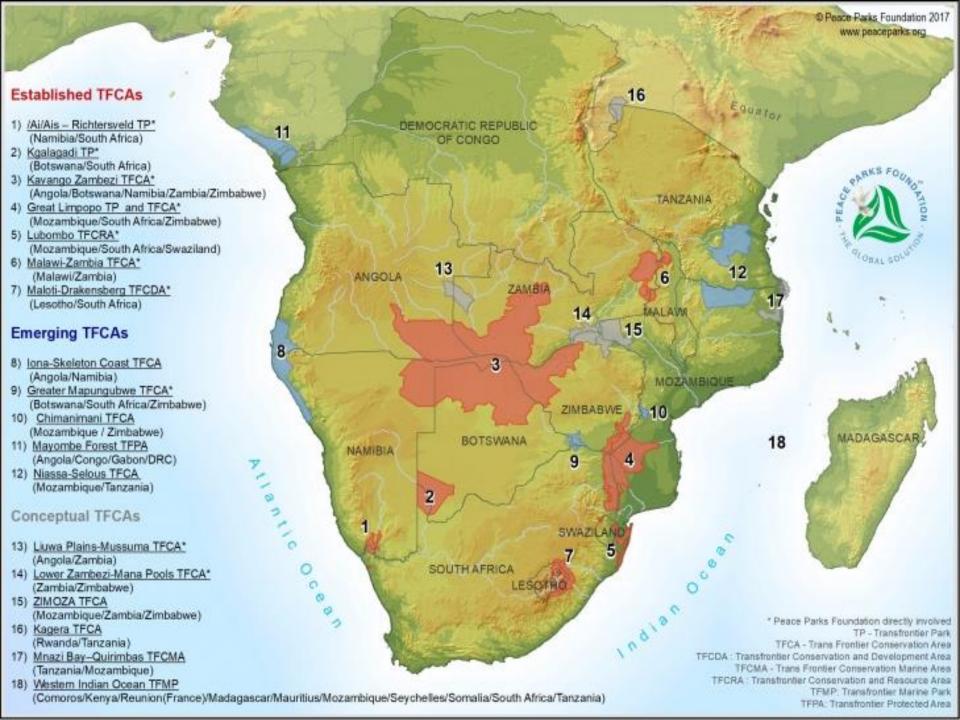
 Background document to inform development of the Community Engagement Guidelines

Contents:

- Background to TFCAs in southern Africa
- Relevant international, SADC and Member States' policy and legal frameworks
- Existing best practices and lessons learnt in community engagement in conservation
- Status of community engagement within SADC TFCAs
- Examples of emerging best practice in SADC TFCAs
- Recommendations for key issues to be covered in the "SADC Guideline for Community Engagement in TFCAs"

TFCAs in SADC

- Within SADC there are two main types of transboundary initiative:
 - Transfrontier Park (TFP): Comprising two or more neighbouring State-run PAs. These TFPs may or may not have communities resident within their component parts and usually have communities as neighbours.
 - Transfrontier Conservation Area (TFCA): Comprising two or more State-run PAs as well as intervening land units, often including communal land and community managed conservation areas, which conform more closely to the definition in the SADC Wildlife Protocol.



Defining the "Community"

- In general:
 - TFPs:
 - Resident people
 - Adjacent or close directly affected
 - Previously evicted people
 - Linked through the flow of one or more ecosystem services.
 - TFCAs:
 - In addition, those with rights to land and resources between PAs

Policy and legal frameworks

Limitations

- Governments often retain large degree of control
- Communities usually do not have strong group land tenure.
- Mostly income sharing by government rather than communities able to earn direct income – handout, not an incentive for conservation
- Policy and legislation is not harmonised across SADC, neither is it harmonised across sectors in individual countries.

Policy and legal frameworks

- Much though is possible within the current policy and legal framework of most countries and within the TFCA context.
- Implementation needs to be improved.
- Within several Member States, community institutions exist which have various forms of resource user rights and which can be used as building blocks for community involvement in TFCAs.
- Various mechanisms do exist in most Member States to channel benefits to communities and there are opportunities for promoting improved community interaction with protected areas.
- Harmonisation of policy and legislation would be useful so that different approaches to community engagement are not applied in different countries within the same TFCA.

Existing best practice & lessons

Co-management of Natural Resources

CBNRM

Landscape Conservation

Transboundary Conservation

Existing best practice & lessons 2

Common themes:

- Negotiate with communities as equal partners and rights holders
- Develop a shared vision and objectives
- Involve communities as active managers of resources and not passive beneficiaries,
- Find innovative ways for them to be integrated into TFCA governance structures



Existing best practice & lessons 3

- Ensure benefits are what communities need and identify themselves
- Partner with community conserved areas and their institutions
- Provide rights and decision-making authority over wildlife and other resources
- Recognise power imbalances, try to level the playing field
- Work at village level, not just with leaders



Community Engagement in SADC TFCAs

Table 1. Typology of community participation in conservation initiatives (adapted from Pimbert and Pretty, 1994/IIED 1994)

Typology	Characteristics of each type
1. Passive	People participate by being told what is going to happen or what has
participation	already been decided.
2. Participation in	People provide information to external planners and researchers without
giving information	influencing the outcomes.
3. Participation by	People are consulted and external planners listen to their views and may or
consultation	may not modify their plans as a result of the consultation.
4. Functional	People participate by forming groups/committees/forums to help meet pre-
participation	determined objectives or to provide external planners and implementers
	with structures to work through.
5. Active	People are involved in the planning: Agreeing on a common vision and
participation in	objectives and setting management priorities. People are involved in
planning and	decision-making as equal partners with other agencies involved.
decision-making	

Community engagement 2

Constraints

- Top down structures
- Lack of resources and capacity
- Lack of prioritisation of community engagement activities
- Perceptions of the role of Communities in TFCAs
- Unequal status of communities
- It can be difficult & time consuming

Community engagement 3

- Successful co-management between state authorities and other landholders in TFCAs requires a change in perception of the role and status of local communities:
 - Acknowledge communities as active managers of natural resources within TFCAs, not passive beneficiaries
 - Accept communities as rights holders and as such, more equal partners with governments and private sector
 - See communities not as people we do things to or for, but with

Emerging Best Practice in SADC TFCAs

- Community Involvement in Species Management
 - KAZA Conservation Strategy and Action Plan for the African Wild Dog:
 - Involve the communities that live with wild dogs, in existing training, research and education activities
 - Involve community members in long-term monitoring exercises
 - Broaden the scope of tourism activities to increase benefits deriving from wild dog-focussed photographic tourism

- Community Involvement in Decision-making
 - GLTFP institutional reform strategy:
 - Decentralised approach with 4 geographically based Joint Park Management Committees below the Joint Management Board
 - Pafuri-Sengwe JPMC provides for representation from all key stakeholders including the Makuleke & Sengwe communities
 - E.g. of retrofitting community participation

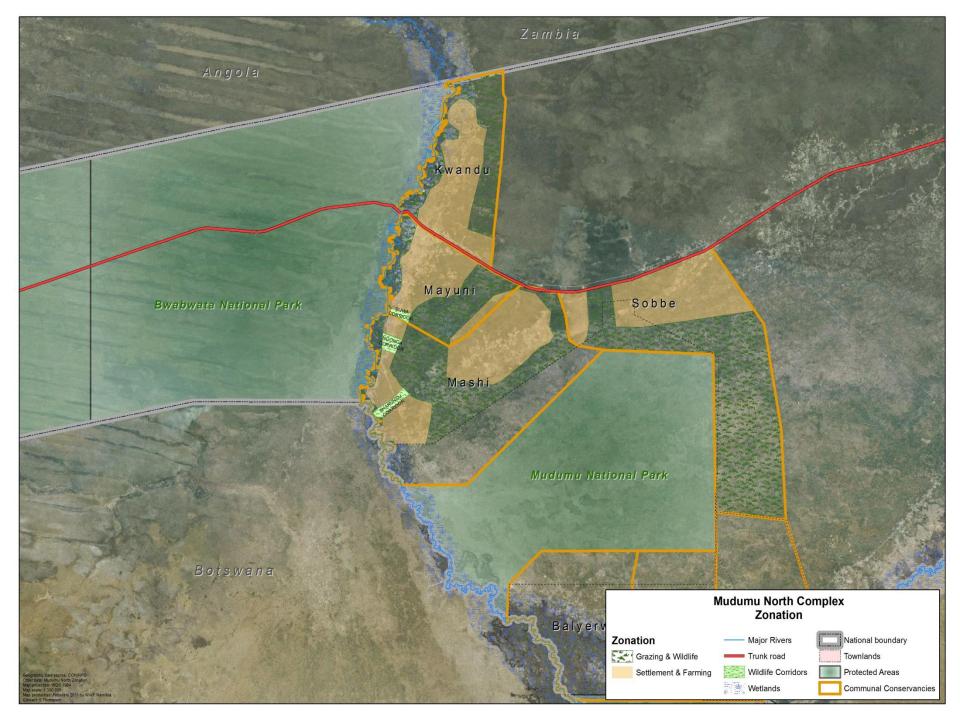
- Livelihoods and Economic Development
 - Lubombo TFCA eco-tourism
 - Eco Business Plan participatory tool for integrated landscape and business planning
 - Led to the Lubombo Eco Trails program, a community based network of trails, lodges and camps linking the communities of the Lubombo TFCA.
 - Local community associations formed to manage these enterprises.

- GLTP/GLTFCA integrated livelihoods diversification strategy aims to:
 - Develop a collective vision and integrated approach to enhancing livelihood options in the project area;
 - Identify appropriate, viable and strategic livelihood initiatives that could be applied to the GLTFCA area.
 - Empower communities to actively participate in resource management decisions.

- KAZA Community Transboundary Forums
 - Engage and promote harmonised activities for governments and communities between borders (e.g. Fisheries);
 - Improve livelihoods and income e.g. Conservation Agriculture and crafts in Kwandu Conservancy (Namibia)/Imusho Community (Zambia) and Sikunga Conservancy (Namibia)/Inyambo Community Trust (Zambia);
 - Harmonise management by CBOs across borders e.g. Chobe Enclave Conservation Trust (Botswana)/Salambala Conservancy (Namibia);
 - Empower rural communities to have a voice in conservation;
 - Enhance connectivity;
 - Reduce frictions among neighbouring communities.

- Landscape Conservation in KAZA
 - Mudumu North and South conservation complexes, Zambezi Region Namibia





Recommendations for the Guideline

Approach

 Draw on the various guidelines for community engagement in conservation summarised in as well as the emerging best practice in SADC TFCAs.

 Strong theoretical underpinning, but presented in a practical, concise and user friendly format

Recommendations for the Guideline 2

- Key Additional Issues
 - Support for livelihoods beyond wildlife and tourism: support sustainable livestock management and agriculture programmes
 - Innovative means to involve communities in decisionmaking:
 - Guidelines for retrofitting community participation in existing TFCA decision-making and clear mechanisms for community engagement in the planning and implementation phases of new TFCAs.
 - Addressing Human-wildlife conflict